

**CLAIMS**

- 1                   1.       An electrostatic actuator formed in a single layer comprising:  
2                   a stator formed in the layer comprising a first plurality of fingers;  
3                   a rotor formed in the layer comprising a second plurality of fingers,  
4       wherein:  
5                   one or more of the fingers of the second plurality is between the fingers of  
6       the first plurality, and  
7                   one or more fingers of the stator and rotor are positioned above a  
8       conducting plane having the same potential as the rotor, and  
9                   one or more fingers of the rotor has a height less than or equal to one or  
10       more fingers of the stator such that a vertical force is exerted upon the rotor, the  
11       height measured from the bottom of the finger to the top of the finger.
- 1                   2.       The electrostatic actuator of claim 1 wherein the single layer is a  
2       single layer of a wafer, the single layer comprising a semiconducting material.
- 1                   3.       The electrostatic actuator of claim 1 wherein the single layer  
2       comprises a conductive material.
- 1                   4.       The electrostatic actuator of claim 1 wherein the single layer  
2       comprises an insulating material.
- 1                   5.       The electrostatic actuator of claim 1 wherein the rotor further  
2       comprises a central portion, the central portion forming part of a micro-optical  
3       component.

1           6.     The electrostatic actuator of claim 5 wherein the micro-optical  
2     component has one or more filter elements, and wherein one or more of the  
3     second plurality of fingers moves one or more of the filter elements.

1           7.     The electrostatic actuator of claim 5 wherein the micro-optical  
2     component attenuates or switches an input signal by rotation of the central portion  
3     of the rotor.

1           8.     The electrostatic actuator of claim 1, wherein a positive vertical  
2     force is exerted upon one or more of the rotor fingers such that the rotor is  
3     vertically moved from the plane of the stator.

1           9.     The electrostatic actuator of claim 5 wherein a positive vertical  
2     force is exerted upon one or more of the rotor fingers causing the central portion  
3     of the rotor to rotate about an axis.

1           10.    The electrostatic actuator of claim 5, wherein a positive vertical  
2     force is exerted upon one or more of the rotor fingers and a negative vertical force  
3     is exerted upon one or more of the rotor fingers such that the central portion of the  
4     rotor is rotated about an axis.

1           11.    The electrostatic actuator of claim 5 further comprising one or  
2     more springs formed in the layer, the springs connected to the central portion of  
3     the rotor.

1           12.    The electrostatic actuator of claim 10, wherein the central portion  
2     of the rotor is rotated about an axis aligned with the springs.

1                   13.     The electrostatic actuator of claim 1, wherein the conductive plane  
2     is located below the fingers at a first side of the actuator, but not below the fingers  
3     at a second side of the actuator.

1                   14.     The electrostatic actuator of claim 13, wherein a positive force is  
2     created at the first side and a negative force is created at the second side.

1                   15.     The electrostatic actuator of claim 14, wherein the actuator pivots  
2     about an axis located between the first and second side of the actuator.

1                   16.     The electrostatic actuator of claim 1, wherein the layer comprises  
2     silicon, and the rotor and stator comprise the silicon.

1                   17.     The electrostatic actuator of claim 1 further comprising an  
2     insulating layer below the silicon layer.

1                   18.     The electrostatic actuator of claim 17 wherein the fingers of the  
2     stator and rotor are formed within the silicon layer by etching the silicon layer and  
3     the insulating layer.

1                   19.     The electrostatic actuator of claim 13 wherein the insulating layer  
2     is silicon dioxide.

1                   20.     The electrostatic actuator of claim 13 further comprising a silicon  
2     layer below the insulating layer, and wherein the fingers of the stator further  
3     comprise the insulating layer sandwiched between the silicon layer above and  
4     below the insulating layer.

1                   21.     A method of forming an electrostatic actuator in a wafer  
2     comprising a silicon substrate, an insulating layer on the substrate, and a silicon  
3     layer having a height  $x$  on the insulating layer, the method comprising:

4                   etching a trench having a depth  $y$  within the silicon layer; and thereafter  
5                   etching the silicon layer and the trench to the insulating layer to form a  
6 rotor finger of height  $x-y$  and a plurality of stator fingers of height  $x$ ; and  
7                   etching a portion of the insulating layer below the rotor and the stator  
8 fingers.

1                   22.     The method of claim 21 further comprising depositing a  
2 photoresist layer within the trench yet narrower than the trench prior to etching  
3 the silicon.

1                   23.     The method of claim 23 further comprising etching the silicon  
2 substrate from the bottom of the wafer to form a central portion of the rotor.

1                   24.     The method of claim 23 further comprising etching a portion of the  
2 insulating layer to form a central portion of the rotor.

1                   25.     The method of claim 23 further comprising depositing a reflective  
2 coating upon the central portion of the rotor.

1                   26.     The method of claim 21, wherein the insulating layer comprises  
2 silicon dioxide.

1                   27.     An electrostatic actuator formed in a wafer having a first  
2 conductive layer, a second conductive layer and an insulating layer between the  
3 first and second conductive layers, the actuator comprising:

4                   a stator comprising a first plurality of fingers, the fingers comprising a top  
5 conductor formed in the first conductive layer, a bottom conductor formed in the  
6 second conductive layer, and an insulator formed in the insulating layer;

7 a rotor comprising a second plurality of fingers, the rotor formed in the  
8 second conductive layer, and wherein:

9 one or more of the fingers of the second plurality is between the fingers of  
10 the first plurality, and

11 when a voltage is applied to the conductors of the stator a vertical force is  
12 exerted upon one or more fingers of the rotor.

1 28. The actuator of claim 27 wherein the second plurality of fingers is  
2 coplanar with the bottom conductor of the first plurality of fingers.

1 29. The actuator of claim 27 wherein the rotor further comprises a  
2 central portion that is moved by the vertical force.

1 30. The actuator of claim 29, wherein the central portion is rotated  
2 about an axis.

1 31. The actuator of claim 29, wherein the central portion is moved  
2 substantially vertically from the substrate.

1 32. The actuator of claim 27 wherein the force moves a filter element  
2 of a tunable filter.

1 33. The actuator of claim 27 wherein the force rotates a reflective  
2 element to direct an input beam.

1 34. An electrostatic actuator formed in a insulating layer, the actuator  
2 comprising:

3 a stator comprising a first plurality of fingers having an insulating portion  
4 formed in the insulating layer, and a conductive portion upon the insulating  
5 portion;

6 a rotor comprising a second plurality of fingers, the rotor formed in the  
7 insulating layer, and wherein:

8 one or more of the fingers of the second plurality is between the fingers of  
9 the first plurality, and

10 when a voltage is applied to the conductive portions of the stator fingers a  
11 vertical force is exerted upon one or more fingers of the rotor.

1 35. The electrostatic actuator of claim 34 wherein the insulating  
2 portion of the stator is coplanar with the rotor when the voltage is not applied to  
3 the stator.

1 36. The electrostatic actuator of claim 34 wherein when the voltage is  
2 applied the vertical force moves the rotor such that it is coplanar with the  
3 conductive portions.

1 37. The electrostatic actuator of claim 36, wherein the rotor movement  
2 pivots a micro-optical component connected to the rotor.

1 38. The electrostatic actuator of claim 37, wherein the rotor movement  
2 pivots a mirror.

1 39. The electrostatic actuator of claim 37, wherein the micro-optical  
2 component is a tunable filter.

1 40. An MEMS actuator comprising:

2 a stator having a plurality of fingers comprising an insulating material, and  
3 a conductive material upon the insulating material;

4 a rotor having a plurality of fingers consisting of an insulating material,  
5 and wherein:

6 the fingers of the rotor are inter-digital with the fingers of the stator, and  
7 the insulating material of the stator is coplanar with the insulating material  
8 of the rotor when no voltage is applied, and

9 when a voltage is applied to the conductive material of the stator, a force is  
10 created moving the rotor upward towards the conductive material of the stator.

1 41. The MEMS actuator of claim 40, wherein the insulating material of  
2 the rotor and the stator are formed within the same layer of a wafer.

3 42. The MEMS actuator of claim 40, wherein the insulating material of  
4 the rotor and stator are formed from different wafers.